



### Antimicrobial prescribing in Australian residential aged care facilities

Results of the 2020 Aged Care National Antimicrobial Prescribing Survey







Published by the Australian Government Department of Health and Aged Care

For issues regarding the content of the report:

Email: support@naps.org.au

Website: https://www.naps.org.au/

ISBN: 978-1-76007-351-0

© Australian Government Department of Health and Aged Care

All material and work produced by the Department of Health and Aged Care is protected by copyright. The Department reserves the right to set out the terms and conditions for the use of such material.

Enquiries about the licence and any use of this publication are welcome and can be sent to AMR@health.gov.au

### **Preferred citation**

Royal Melbourne Hospital and the National Centre for Antimicrobial Stewardship. Antimicrobial prescribing practice in Australian residential aged care facilities. Results of the 2020 Aged Care National Antimicrobial Prescribing Survey Canberra: Department of Health and Aged Care; 2023.

### **Disclaimer**

This document is not intended to provide guidance on particular healthcare choices. You should contact your healthcare provider for advice on particular healthcare choices.

This document includes the views or recommendations of its authors and third parties. Royal Mebourne Hospital, the National Centre for Antimicrobial Stewardship and the Department of Health and Aged Care do not accept any legal liability for any injury, loss or damage incurred by the use of, or reliance on, this document.

### **Contents**

Sι	ımmary	4
	Key findings of the 2020 Aged Care NAPS	5
	Implications for clinical practice	5
1.	Introduction	6
	About the Aged Care NAPS	
	Australian aged care services	
2	Methodology	7
۷.	2.1. Time frame	
	2.2. Recruitment	
	2.3. Survey method	
	2.4. Data collection forms	
	2.5. Electronic Aged Care NAPS	
	2.6. Data definitions and data analysis	
	2.7. Support	
	2.8. Considerations for data interpretation	
3.	Key Results	11
-	3.1. Participation	
	3.2. Prevalence of infections and antimicrobial use	
	3.3. Suspected infections on the survey day	
	3.4. Antimicrobial use	
	3.5. Adverse events	15
	3.6. Duration	15
	3.7. Most commonly prescribed antimicrobials	15
	3.8. Quality indicators	17
	3.9. Common indications for prescribing antimicrobials	19
	3.10. Most commonly prescribed antimicrobials for common	
	indications	21
4.	Discussion	22
Αŗ	ppendix 1: Facility form	24
Αŗ	ppendix 2: Antimicrobial and infection form	26
	opendix 3: Additional data on infections and antimicrobials	
Αŗ	ppendix 4: Abbreviations	35
D.	of aranga a	26

### **Summary**

All Australian aged care homes and multipurpose services (aged care facilities) are encouraged each year to complete the Aged Care National Antimicrobial Prescribing Survey (Aged Care NAPS). This standardised surveillance tool can be used to monitor the prevalence of infections and antimicrobial use, provide feedback to key clinicians and administrators, and measure the effectiveness of infection prevention and control (IPC) and antimicrobial stewardship (AMS) programs. It is an important safety and quality initiative, as there is longstanding evidence of residents colonised or infected by multidrugresistant organisms and inappropriate antimicrobial use.

This report primarily presents analyses of resident infection and antimicrobial use data reported by Australian aged care facilities that contributed to the 2020 Aged Care NAPS. All states/territories, remoteness classifications (major cities, regional and remote) and provider groups (private, not for profit and public) are represented. Comparisons are made against aged care facilities that participated in the 2017 (n=277), 2018 (n=402) and 2019 (n=641) Aged Care NAPS. Early Aged Care NAPS data (2015 and 2016) is not included.

The 2020 Aged Care NAPS identified issues in relation to infections and antimicrobial use that were similar to those identified in previous annual surveys, including:

- high numbers of suspected skin and soft tissue, urinary tract and respiratory tract infections
- high prevalence of residents prescribed at least one antimicrobial; this includes those residing in facilities that have consistently participated in the Aged Care NAPS
- prolonged duration of antimicrobial prescriptions
- extensive prescribing of topical antimicrobials, especially clotrimazole
- · frequent prescribing of pro re nata (PRN; as required) antimicrobials
- · continuous prophylactic antimicrobial therapy, especially for urinary tract infections
- incomplete documentation of indication and review and stop dates.

### Key findings of the 2020 Aged Care NAPS

Important findings in 2020 included the following:

- On the survey day, 2.9% of residents had signs and/or symptoms of a suspected infection and 11.9% were prescribed antimicrobials. While the prevalence of residents with signs and/or symptoms of a suspected infection has remained stable compared with previous years, the prevalence of residents prescribed antimicrobials continues to increase.
- The most commonly reported suspected infections on the survey day were skin or soft tissue (44.1%), urinary tract (29.8%) and respiratory tract (11.2%).
- The majority (76.0%) of prescribed antimicrobials were for therapeutic (as opposed to prophylactic) indications.
- The most common clinical (therapeutic or prophylactic) indications for antimicrobial prescriptions were unspecified skin, soft tissue or mucosal conditions (21.7%), cystitis (17.6%) and tinea (8.0%). The most common prophylactic indications were cystitis (26.0%), unspecified skin, soft tissue or mucosal conditions (13.0%) and unspecified urinary tract conditions (6.3%).
- Clotrimazole (23.9%), cefalexin (20.4%) and chloramphenicol (6.3%) were the most commonly prescribed antimicrobials.
- Many antimicrobials (43.8%) were prescribed for topical administration.
- Almost one-third (32.3%) of antimicrobials still prescribed on the survey day were for PRN
  administration; the majority (90.4%) of these were for topical antimicrobials, most commonly
  clotrimazole (61.5%).
- Of antimicrobials still prescribed on the survey day, 39.2% (n=2,499) were commenced more than 6 months prior.
- Documentation of the indication for prescribing an antimicrobial increased to 76.7% compared with 73.1% in 2019. Documentation of antimicrobial review or stop dates, however, decreased to 46.2%, compared with 54.4% in 2019.

### Implications for clinical practice

The seriousness and consistency of the identified issues reinforce the need for aged care facilities to develop and implement effective IPC and AMS programs that will lead to improvement in resident safety. There are nationally accepted guidelines that facilities should reference and use, such as the Australian Guidelines for the Prevention and Control of Infection in Health Care,¹ Therapeutic Guidelines: Antibiotic² and AMS Clinical Care Standard.³ The Australian Commission on Safety and Quality in Health Care has published strategies that specifically support IPC and AMS in general practice and in community and residential aged care. As of early 2021, all facilities must have employed one or more trained IPC Leads; it is expected these IPC Leads will play a pivotal role in supporting their facility's IPC and AMS programs. Alongside on-site and visiting staff, residents and their carers should be actively engaged too.

### 1. Introduction

This report presents analyses of data collected for the 2020 Aged Care National Antimicrobial Prescribing Survey (Aged Care NAPS) and includes comparisons with 2017, 2018 and 2019 Aged Care NAPS data.

### **About the Aged Care NAPS**

The Aged Care NAPS is a standardised surveillance tool that all Australian aged care homes and multipurpose services (aged care facilities) can use to monitor the prevalence of infections and antimicrobial use, provide feedback to key clinicians and administrators, and measure the effectiveness of infection prevention and control (IPC) and antimicrobial stewardship (AMS) programs.<sup>4,5</sup> The survey, first piloted in 2015,<sup>6</sup> was modelled on the European Centre for Disease Prevention and Control Healthcare-Associated Infections in Long-Term Care Facilities (HALT) study.<sup>7</sup> The Aged Care NAPS has subsequently been conducted annually.<sup>8-11</sup>

Coordination of the Aged Care NAPS is overseen by the National Centre for Antimicrobial Stewardship (NCAS), Guidance Group and the Victorian Healthcare Associated Infection Surveillance System (VICNISS) Coordinating Centre. In 2020, funding was provided by the Australian Commission on Safety and Quality in Health Care (ACSQHC) and the Australian Government Department of Health. Aged Care NAPS data are provided to the Antimicrobial Use and Resistance in Australia (AURA) Surveillance System - a comprehensive and coordinated national surveillance of antimicrobial use and antimicrobial resistance.

### Australian aged care services

In Australia, aged care services are primarily provided through Commonwealth Home Support, Home Care Packages and permanent or respite residential care in aged care facilities. There are also 5 flexible service options that provide home support and/or residential care, including multipurpose services. Multipurpose services, located in all states, the Northern Territory and the External Territories (Norfolk Island), provide integrated health and aged care services for small regional and remote communities where a standalone hospital or aged care home would not be viable.<sup>12</sup>

At 30 June 2020, 845 approved providers operated 2,722 aged care homes. Across 2019–20, there were 217,145 operational places, with an occupancy rate of 88%. This does not include flexible aged care places. Most homes were located in New South Wales (32.4%), Victoria (28.1%) and Queensland (17.4%), and almost two-thirds (62%) were located in metropolitan areas. Not-for-profit (religious, charitable and community), private and government organisations operated 57%, 34% and 9% of the homes respectively. Additionally, multipurpose services (n=179) provided 3,668 operational places.<sup>12</sup>

IPC and AMS in aged care facilities is supported by the Aged Care Quality Standards. Standard 3(3)(g) specifically aims to minimise infection-related risks by implementing standard transmission-based precautions and practices to promote appropriate antimicrobial use. Standard 8(3)(e) notes that where clinical care is provided a clinical governance framework must include AMS. Clinical governance is the set of relationships and responsibilities between the facility's governing body, clinicians, residents and others, and the systems in place that aim to deliver safe, quality clinical care and continuously improve services.<sup>13</sup>

### 2. Methodology

### 2.1. Time frame

The official data collection and submission period for the 2020 Aged Care NAPS was 1 June to 31 December 2020.

### 2.2. Recruitment

All Australian aged care facilities are eligible to participate in the Aged Care NAPS. Since 2017, participation by Victorian state government aged care facilities has been mandatory as part of the VICNISS Infection Control Indicator Program. The remainder of participants contribute on a voluntary basis. In 2020, participation was promoted mostly prior to the commencement date via email to potential participants listed on NCAS and VICNISS contact databases.

The survey can be completed by senior nurses, infection control professionals and/or pharmacists. Ideally, surveyors should have at least 2 years of clinical experience and collaborate with other staff as deemed appropriate.

### 2.3. Survey method

On any day during the 2020 time frame, participating facilities could choose one of 2 survey methods to collect data (see boxes below). Method 2 was recommended for smaller facilities that wished to expand their sample size to better assess their performance. Facilities could participate more than once.

### Method 1: A single-day point prevalence survey

On the survey day, all residents are screened to determine if they:

- have an antimicrobial prescription noted on their medication chart
- have signs and symptoms of a suspected infection.

### Method 2: A single-day point prevalence survey plus an additional one-month retrospective survey

On the survey day, all residents are screened to determine if they:

- have an antimicrobial prescription noted on their medication chart
- have signs and symptoms of a suspected infection.

In addition, all residents present on the survey day are screened to determine if they had an antimicrobial prescription noted on their medication chart on any day during the previous month that was ceased prior to the survey day.

### 2.4. Data collection forms

### 2.4.1. Facility form

Each participating facility completed the 'Facility form' (Appendix 1). Resident-level data fields included listing the number of residents present on the survey day. All residents who were present on the survey day were eligible for inclusion. To simplify data collection, the number of residents transferred to hospital with suspected or confirmed infection was no longer reported. The time frame for the number of residents admitted to hospital was changed from 'previous 30 days' to 'previous seven days'.

### 2.4.2. Antimicrobial and infection form

The 'Antimicrobial and infection form' (Appendix 2) was completed for residents who:

- were prescribed an antimicrobial on the survey day (Methods 1 and 2) and within the previous month (Method 2 only)
- had at least one sign and/or symptom of a suspected infection present on the survey day (Methods 1 and 2).

Demographic data included date of birth, gender, if the resident had been admitted to the facility within the last 48 hours and if the resident had been admitted to hospital within the previous 7 days (previously 30 days).

Data collected about adverse reactions to antimicrobials for those residents prescribed an antimicrobial were classified as nil known, not documented or yes. If yes, the adverse reaction for each causative antimicrobial (one or more) was classified as allergic (anaphylaxis/angioedema, rash/urticarial and other), side effect (e.g. nausea, vomiting, diarrhoea) or unknown.

Data were collected about key prescribing elements including the choice of antimicrobial agent, dose, route of administration, frequency, start date, and documentation of a review or stop date. If the prescription was for PRN administration, also reported was if the antimicrobial had been administered on the survey day or in the 6 days prior. Antimicrobial prescriptions included all antibacterial, antiviral, antifungal and anti-parasitic agents in all formulations. Methenamine hippurate (also known as hexamine hippurate), an antibacterial antiseptic, was included due to its common use for urinary tract infection prophylaxis.<sup>14</sup>

The indication and body system for the prescription were reported according to a standardised list. If an indication was not included on the list, the surveyor was required to report 'Other' and the body system – for example, 'Other: urinary tract'. For 2020, the skin, soft tissue and mucosal body system list now included impetigo and cutaneous candidiasis (thrush).

If the antimicrobial start date was known and the therapy had commenced less than 6 months before the survey day, data were collected about what microbiology specimens had been taken. For 2020, the time frame (on the antimicrobial start date or in the 6 days prior) was extended to include 3 days after the antimicrobial start date. Previous surveyors had fed back that microbiology specimens were frequently taken during this time. Data about culture and sensitivity results as detailed in finalised microbiology reports (not always accessible at the aged care facilities) were no longer required.

A list was provided for recording signs and/or symptoms of infections documented on the survey day and if present in the 2 days prior. The list was divided into 6 body systems: urinary tract, respiratory tract, skin or soft tissue, oral, eye, and other. A list was also provided of constitutional criteria, or signs and symptoms common to many different infection types; these included fever, change in mental status from baseline, acute functional decline in activities of daily living, and results of full blood examination. The methodology for collection of infection data included reviewing medical histories, staff handover notes, incident reports, wound-care folders, and verbal information provided by a senior clinician.

### 2.5. Electronic Aged Care NAPS

On the survey day, hard-copy data collection forms were completed by the surveyors and then used to assist with electronic data entry. Registered surveyors could access the e-versions via the NAPS web portal.

Once the data were entered, a 2-page dashboard report could be generated and downloaded immediately via the NAPS web portal. These reports enabled participating facilities to compare their performance against their last year and national aggregate data. Key results were presented in simple table or graph format. Surveyors were encouraged to forward the reports to those who are able to influence resident care, including administrators and clinicians such as general practitioners, pharmacists and nurses.

### 2.6. Data definitions and data analysis

Data quality processes for the Aged Care NAPS dataset included identification and, if necessary and possible, follow-up consultation with the surveyors to correct missing, miscoded and out-of-range errors. Duplicate and non-finalised resident records were excluded; surveys that included only non-finalised resident records were omitted. For those facilities that participated more than once each year, only their last survey was included. Changes to the dataset and decisions about how to assess certain data fields were documented.

A suspected infection was defined as at least one sign or symptom of infection on the survey day and, if present, other signs and/or symptoms in the 2 days prior to the survey day. More than one suspected infection could be reported for each resident. An electronic decision algorithm was applied to each suspected infection to determine whether the McGeer et al. infection surveillance definitions were met. These widely referenced definitions, which were specifically developed for use in long-term care facilities, were last revised in 2012 to take into account the most recent evidence and the availability of improved diagnostics for surveillance.<sup>15</sup>

The prevalence of infection was calculated as the proportion of residents present on the survey day who had signs and/or symptoms of at least one suspected infection. The prevalence of antimicrobial use was calculated as the proportion of residents present on the survey day who were prescribed at least one antimicrobial.

To analyse antimicrobial use, Method 1 and Method 2 antimicrobial data were usually combined. Antimicrobials prescribed on a known start date within 6 months and still prescribed on the survey day only were included in exact duration and date of administration estimates. This is because both the start date and survey date were required for these analyses.

### 2.7. Support

Throughout the year, the NAPS coordinating team provided email and telephone assistance as required. Surveyors were encouraged to access via the Aged Care NAPS resources webpage the updated Aged Care NAPS user guide; frequently asked questions documents about registration, data collection and data submission; and the eLearning module. The eLearning module outlined how to prepare for the survey, the methodology and how to complete the data collection forms. As requested, online training sessions were delivered for different provider groups.

### 2.8. Considerations for data interpretation

### Aged Care NAPS data

Data from 2017 to 2019 included in the analyses for this report differ from the data in previous reports. This is because some data were retrospectively entered and an extensive data cleaning process was undertaken before commencing the 2020 analysis. Also, as part of merging the separate 2018 antimicrobial and infection data collection forms, from 2019 some data fields were omitted that may have been previously included and some new data fields were included.

### Sampling

For some states and territories, remoteness and provider type categories, there was a relatively small number of participating facilities. Also, multipurpose services, unlike aged care homes, provide a range of health services.

Over time, different cohorts of facilities have participated in the annual Aged Care NAPS. Each year, the number of participating facilities has increased, 'new' facilities have participated and some facilities that have previously participated have chosen not to participate.

### Signs and symptoms

A suspected infection was defined as at least one sign and/or symptom of infection on the survey day and, if present, other signs and/or symptoms in the 2 days prior to the survey day. In many cases, prescriptions audited were prescribed more than 3 days prior to the survey day. As signs and symptoms are likely to be most significant in the time period just prior to or on commencement of antimicrobial prescriptions, the number of suspected infections may under-represent the true number of antimicrobial prescriptions where signs and symptoms were present prior to the prescription.

### Infection surveillance definitions

Signs and symptoms of infection in older residents may be atypical, so failure to meet the McGeer et al. definitions may not fully exclude the presence of a true infection. In addition, the McGeer et al. definitions require microbiological confirmation for some infections (for example, urinary tract infections). This means that these infections will not be confirmed unless microbiological specimens are collected. Specimens for microbiological testing are less likely to be collected in aged care facilities, compared to acute care services. The McGeer et al. definitions are generally useful to compare the proportion of defined infections between facilities over time as opposed to being used to rule in or rule out the clinical need for a prescription.

### **Variation**

The survey was conducted on a single day. The results may have been different on another day, depending on the season. Certain respiratory infections, for example, are usually more frequent in winter.

### **Validation**

The analysis relied on the validity of local assessments. There was no additional external validation undertaken.

### 3. Key Results

Results are presented both in this section and in the tables in Appendix 3: Additional data on infections and antimicrobials.

### 3.1. Participation

In 2020, 823 aged care facilities (725 aged care homes and 98 multipurpose services; 46,922 surveyed residents) collected and submitted Aged Care NAPS data at least once during the official time frame. Thirty-eight facilities participated more than once. Since 2018, 253 facilities have participated at least once each year during the official data collection period.

Most participating facilities were located outside Victoria (n=532, 64.6%); 171 (20.8%) facilities were located in NSW. About three-quarters of participating facilities were located in either major cities (n=382, 46.4%) or regional areas (n=395, 48.0%). Nearly half (n=411, 49.9%) of the participating facilities were not-for-profit operated (Appendix 3: Table A1).

Participation within states/territories and remoteness areas varied from 7.7% (NT) to 47.5% (WA) (Figure 1 and Appendix 3: Tables A1 and A2) and from 22.4% (major cities) to 43.2% (remote) respectively (Appendix 3: Table A1).

50 40 Facilities participating (%) -NSW -VIC 30 QLD •SA 20 -WA TAS -NT 10 •ACT 0 2017 2018 2019 2020 Year

Figure 1: Percentage of participating facilities within states and territories, Aged Care NAPS contributors, 2017-2020

Sources: 1. Facility form and 2. Aged care service list: 30 June 2017, 2018, 2019 and 2020 Australian Institute of Health and Welfare (AIHW) GEN Aged Care Data.

Participation within each of the 3 provider groups varied between government (68.6%), not for profit (26.4%) and private (13.9%) (Figure 2 and Appendix 3: Tables A1 and A2).

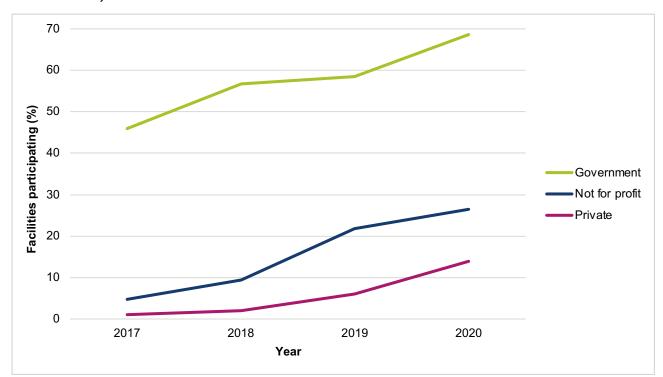


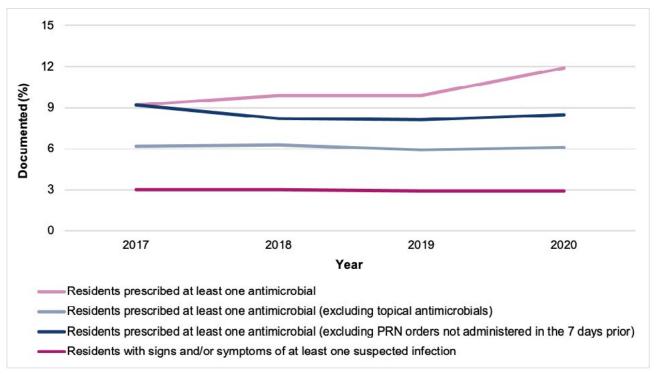
Figure 2: Percentage of participating facilities within provider types, Aged Care NAPS contributors, 2017-2020

Sources: 1. Facility form and 2. Aged care service list: 30 June 2017, 2018, 2019 and 2020 Australian Institute of Health and Welfare (AIHW) GEN Aged Care Data.

### 3.2. Prevalence of infections and antimicrobial use

In comparison to previous years, the prevalence of residents who had a suspected infection remained constant, whereas those prescribed at least one antimicrobial significantly increased. In 2020, the prevalence of residents who had signs and/or symptoms of at least one suspected infection on the survey day was 2.9% (n=1,361). The prevalence of residents prescribed at least one antimicrobial was 11.9% (n=5,586). If all topical antimicrobials or if all PRN orders not administered in the 7 days prior were excluded, the prevalence of residents prescribed at least one antimicrobial was 6.1% (n=2,882) and 8.5% (n=3,996) respectively (Figure 3 and Appendix 3: Table A4).

Figure 3: Prevalence of suspected infections and antimicrobial use, Aged Care NAPS contributors, 2017-2020



Sources: 1. Facility form and 2. Antimicrobial and infection form.

For the 253 facilities that participated annually from 2018 to 2020, there was no notable change in the prevalence of residents with signs and/or symptoms of at least one suspected infection. However, there was an increase in the prevalence of residents prescribed one or more antimicrobials (Figure 4 and Appendix 3: Table A5).

2020 2019 2018 3 0 6 9 12 15 Documented (%) ■ Residents prescribed at least one antimicrobial ■ Residents with signs and/or symptoms of at least one suspected infection

Figure 4: Prevalence of suspected infections and antimicrobial use for facilities that have participated annually, Aged Care NAPS contributors, 2018-2020

Sources: 1. Facility form and 2. Antimicrobial and infection form.

### 3.3. Suspected infections on the survey day

A total of 1,361 residents were reported to have a total of 1,432 suspected infections on the survey day. Suspected skin or soft tissue (44.1%), urinary tract (29.8%) and respiratory tract (11.2%) infections were most commonly reported. About one-third (32.6%) met the McGeer et al. infection surveillance definitions (Table 1).

Table 1: Number and percentage of suspected infections by body system, Aged Care NAPS contributors, 2020

Body system	No. of suspected		that met McGeer et al. nition
	infections	No.	%
Skin or soft tissue	632	229	36.2
Respiratory tract	160	28	17.5
Urinary tract	427	50	11.7
Eye	93	74	79.6
Oral	41	7	17.1
Other systems	79	79	100
Total	1,432	467	32.6

Source: Antimicrobial and infection form Section 5, Method 1 data.

### 3.4. Antimicrobial use

Antimicrobial use data collected by both Method 1 and Method 2 were combined for the analyses presented in this section, unless otherwise stated. The unit of analysis is antimicrobial prescriptions.

A total of 6,418 residents were prescribed 7,581 antimicrobials, of which 6,382 were still prescribed on the survey day.

### 3.5. Adverse events

A total of 1,546 residents prescribed an antimicrobial reported a history of an adverse reaction to antimicrobials. The most common antimicrobials (class) for which an adverse reaction was reported were penicillins (33.0%), trimethoprim (9.0%) and cefalexin (8.8%).

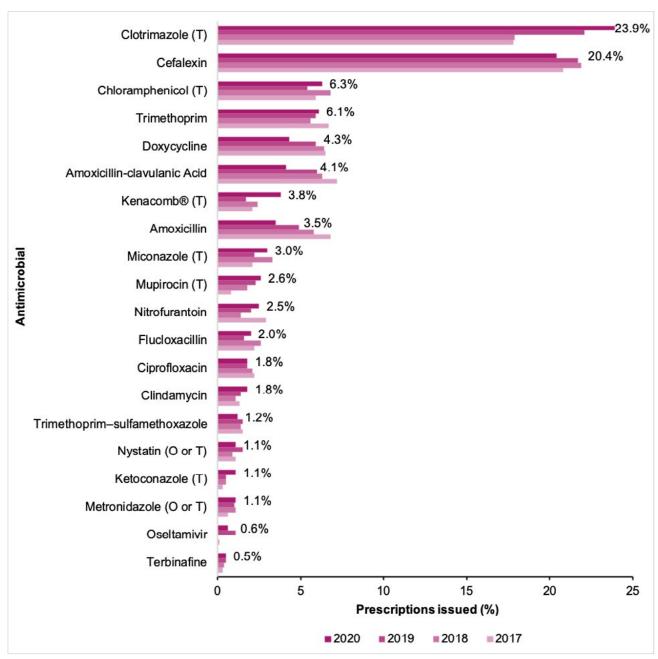
### 3.6. Duration

The start date was unknown for 1.8% (n=136) of the antimicrobial prescriptions. Of antimicrobials still prescribed on the survey day, 39.2% (n=2,499) were commenced more than 6 months prior. Of antimicrobials still prescribed on the survey day that had a known start date and were prescribed less than 6 months prior to the survey day, 31.7% (n=2,025) had been commenced more than 7 days prior to the survey day.

### 3.7. Most commonly prescribed antimicrobials

Most antimicrobials were prescribed for oral (n=4,164, 54.9%) or topical (n=3,323, 43.8%) administration. The majority of prescriptions were for the rapeutic use (n=5,760, 76.0%); the remainder were for prophylaxis. As in previous surveys, clotrimazole (n=1,810, 23.9%) and cefalexin (n=1,546, 20.4%) were the most frequently prescribed antimicrobials (Figure 5 and Appendix 3: Table A6).

Figure 5: Most commonly prescribed antimicrobials, Aged Care NAPS contributors, 2017–2020 Source: Antimicrobial and infection form Section 2, Method 1 and 2 data.



Only top 20 antimicrobials prescribed listed.

Denominator = all 8,322 antimicrobials prescribed

O = oral; T = topical.

Kenacomb® contains triamcinolone, neomycin, nystatin and gramicidin.

Cefalexin (61.0%) and clotrimazole (89.4%) were most commonly prescribed for therapeutic use (Table 2).

Table 2: Cefalexin and clotrimazole prescriptions, therapeutic and prophylactic use, Aged Care NAPS contributors, 2020

Antimicrobial	Category	No.	%	% of therapeutic prescriptions (n=5,760)	% of prophylactic prescriptions (n=1,821)	% of total prescriptions (n=7,581)
Cofoloxin (n. 1 546)	Therapeutic	943	61.0	16.4	-	12.4
Cefalexin (n=1,546)	Prophylactic	603	39.0	-	33.1	8.0
Clotrimazole	Therapeutic	1,618	89.4	28.1	-	21.3
(n=1,810)	Prophylactic	192	10.6	_	10.5	2.5

Source: Antimicrobial and infection form Section 2, Method 1 and 2 data.

About one-third (n=2,062, 32.3%) of antimicrobials still prescribed on the survey day (n=6,382) were for PRN administration; the majority of these (n=1,864, 90.4%) were topical antimicrobials, most commonly clotrimazole (n=1,268, 61.5%). Furthermore, approximately 3 in 10 (n=615, 29.8%) had been prescribed for durations of between one week and 6 months. Of those administered on the survey day or in the 6 days prior, there was an approximate 2% reduction from 2019 to 2020 (Table 3).

Table 3: Antimicrobials prescribed for PRN administration, duration of prescription and administration on the survey day or in the 6 days prior, Aged Care NAPS contributors, 2019-2020

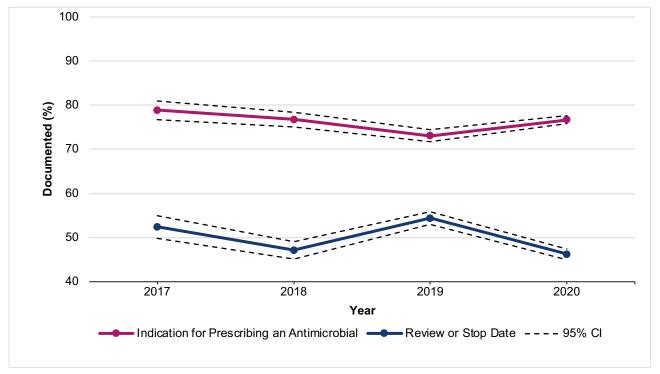
	20	19		20	20	
Duration of prescription	Number of antimicrobials prescribed	on surve	stration by day or s prior	Number of antimicrobials prescribed	on surve	stration by day or s prior
	for PRN administration	No.	%	for PRN administration	No.	%
Less than 1 week	25	10	40.0	47	18	38.3
1 week – 6 months	338	37	10.9	615	65	10.6
Greater than 6 months	423	28	6.6	1,364	84	6.2
Unknown	37	5	13.5	36	2	5.6
Total	823	80	9.7	2,062	169	8.2

Source: Antimicrobial and infection form Section 2, 'Still prescribed today', antimicrobial prescriptions only.

### 3.8. Quality indicators

In 2020 compared to 2019 (73.1%) there was an increase in the percentage of antimicrobial prescriptions (n=5,817, 76.7%) that had a documented indication for prescribing an antimicrobial. At the same time, compared to 2019 (54.4%) there was a decrease in the percentage of antimicrobial prescriptions (n=3,499, 46.2%) that had a documented review or stop date (Figure 6 and Appendix 3: Table A7).

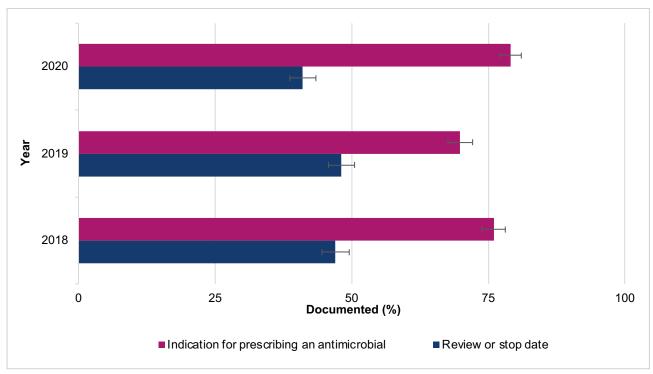
Figure 6: Key quality indicators for all participating facilities, Aged Care NAPS contributors, 2017-2020



Source: Antimicrobial and infection form Section 2, Method 1 and 2 data. CI= Confidence Interval.

For the 253 facilities that participated annually from 2018 to 2020, there was an increase in the documentation of an indication for prescribing an antimicrobial (n=1,394, 79.1%) but a decrease in the recording of the review or stop date (n=723, 41.0%) (Figure 7 and Appendix 3: Table A8).

Figure 7: Key quality indicators for facilities that have participated annually, Aged Care NAPS contributors, 2018-2020

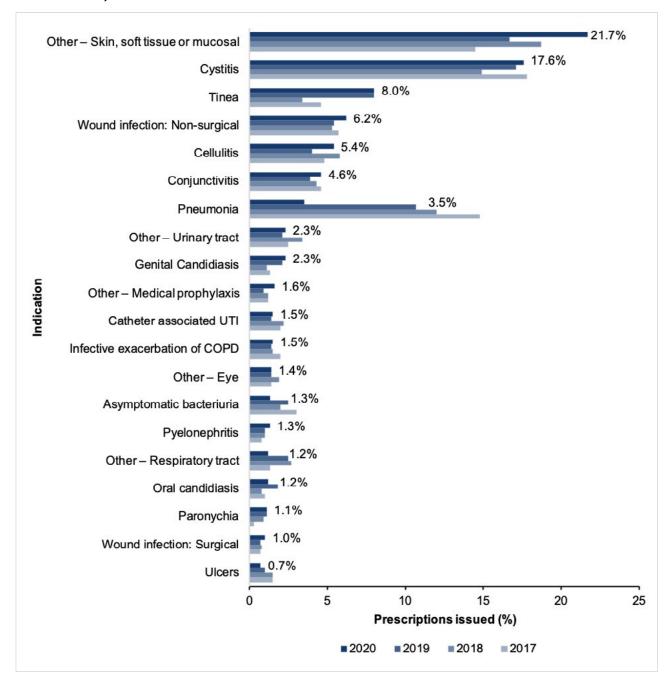


Source: Antimicrobial and infection form Section 2, Method 1 and 2 data.

### 3.9. Common indications for prescribing antimicrobials

The top 5 known indications for prescribing antimicrobials were other – skin, soft tissue or mucosal; cystitis; tinea; wound infection (non-surgical); and cellulitis (Figure 8 and Appendix 3: Table A9). There were no prescriptions where the indication was reported as unknown.

Figure 8: Most common indications for antimicrobial prescriptions, Aged Care NAPS contributors, 2017-2020



Source: Antimicrobial and infection form Section 2, Method 1 and 2 data.

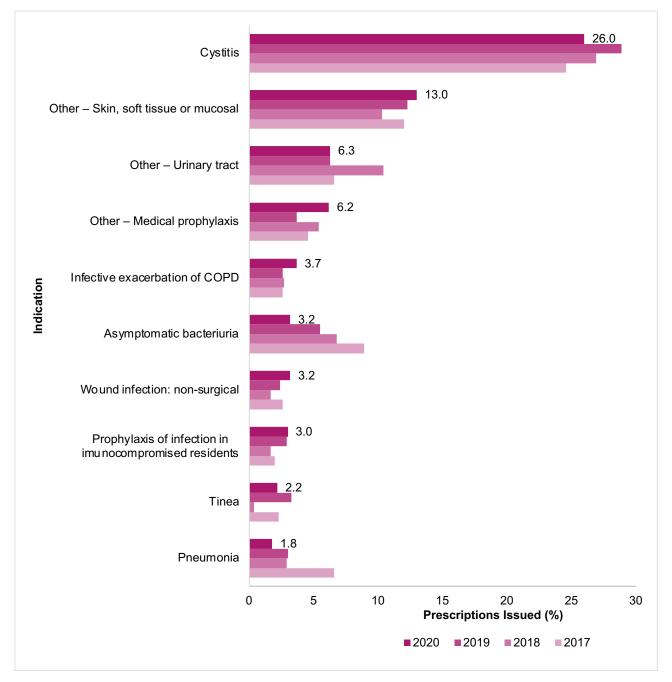
Only top 20 indications for antimicrobial prescriptions listed.

Unknown indications for commencing an antimicrobial excluded.

UTI = urinary tract infection; COPD = chronic obstructive pulmonary disease.

Antimicrobials were consistently and most commonly prescribed for prophylactic indications associated with the urinary tract. In 2020, over one-third of the 1,821 prophylactic prescriptions were for cystitis (26.0%), other – urinary tract (6.3%), asymptomatic bacteriuria (3.2%) and catheter-associated urinary tract infection (2.0%) (Figure 9 and Appendix 3: Table A10).

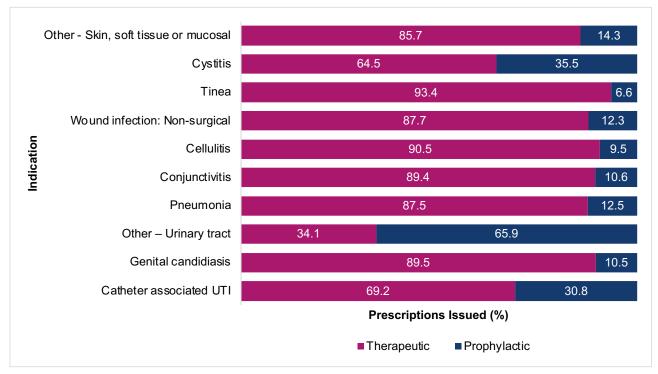
Figure 9: Most common prophylactic indications for antimicrobial prescriptions, Aged Care NAPS contributors, 2017–2020



Source: Antimicrobial and infection form Section 2, Method 1 and 2 data. Only top 10 indications for prophylactic antimicrobial prescriptions listed. Unknown indications for commencing an antimicrobial excluded. COPD = chronic obstructive pulmonary disease.

For cystitis, nearly two-thirds of the antimicrobials (n=1,334) were for either therapeutic (n=860, 64.5%) or prophylactic (n=474, 35.5%) indications (Figure 10 and Appendix 3: Table A11).

Figure 10: Comparison of therapeutic and prophylactic antimicrobial prescriptions for common indications, Aged Care NAPS contributors, 2020



Only top 10 indications for prophylactic antimicrobial prescriptions listed.

Medical prophylaxis and unknown indications for commencing an antimicrobial excluded.

UTI = urinary tract infection.

### 3.10. Most commonly prescribed antimicrobials for common indications

The most commonly prescribed antimicrobials for cystitis, tinea and wound infection (non-surgical) were cefalexin (47.4%), clotrimazole (75.5%) and cefalexin (34.5%) respectively (Table 4).

Table 4: Commonly prescribed antimicrobials for cystitis, tinea and wound infection (non-surgical), Aged Care NAPS contributors, 2020

Cystitis			Tinea	1		Wound infection (no	on-surgi	cal)
(n=1,334 prescrip	tions)		(n=603 presc	riptions)	1	(n=470 prescri	ptions)	
Antimicrobial			Antimicrobial	No.	%	Antimicrobial	No.	%
Cefalexin	632	47.4	Clotrimazole	455	75.5	Cefalexin	162	34.5
Trimethoprim	353	26.5	Miconazole	79	13.1	Mupirocin	53	11.3
Nitrofurantoin	127	9.5	Terbinafine	22	3.6	Kenacomb®	40	8.5
Amoxicillin-clavulanic acid	73	5.5	Ketoconazole	19	3.2	Doxycycline	33	7.0
Amoxicillin	41	3.1	Kenacomb®	9	1.5	Flucloxacillin	31	6.6

Source: Antimicrobial and infection form Section 2, Method 1 and 2 data.

### 4. Discussion

Compared to previous years, an increased number of Australian aged care facilities participated in the 2020 Aged Care NAPS; higher too was the representation of participating facilities for most states or territories and all provider groups (private, not for profit and government). This indicates more facilities valued the opportunity to participate in a national standardised survey that enabled monitoring and benchmarking of infections and antimicrobial use; it also facilitated their compliance with the Aged Care Quality Standards 3(3)(g) and 8(3)(e).<sup>13</sup> It was helpful that the 2020 official time frame was extended from 1 June until 31 December; this allowed ample time for facilities in the midst of responding to the challenging COVID-19 pandemic to schedule their survey day. In previous years, the official 3- to 4-month time frame was over the winter months only.

The 2020 Aged Care NAPS identified issues in relation to infections and antimicrobial use that were similar to those identified in previous annual surveys, including:

### High numbers of suspected skin and soft tissue, urinary tract and respiratory tract infections

Older people are especially vulnerable to infections and may not have typical signs and symptoms of infection.<sup>16</sup>

### High prevalence of residents prescribed at least one antimicrobial

This includes those residing in facilities that have consistently participated in the Aged Care NAPS.

Inappropriate antimicrobial use can cause harm to the individual and the community.<sup>17</sup>

### Prolonged duration of antimicrobial prescriptions

In general, the shortest possible duration of therapy, consistent with the condition being treated and the resident's clinical response, should be used. Prolonged duration of antimicrobial therapy is associated with an increased risk of adverse outcomes including antimicrobial resistance.<sup>2</sup>

### Extensive prescribing of topical antimicrobials, especially clotrimazole

It is probable that many clotrimazole prescriptions are combination topical antifungal and corticosteroid preparations such as Hydrozole<sup>®</sup>. These combination products should only be used until inflammation subsides and then replaced with an antifungal alone to complete the treatment. This is to avoid complications of prolonged corticosteroid use such as thinning of the skin.

### Frequent prescribing of PRN antimicrobials

PRN prescribing of antimicrobials is not recommended, as it encourages sporadic use which may be harmful and ineffective. Clinical review of antimicrobials, especially at the time of infection onset, may be reduced.

### Continuous prophylactic antimicrobial therapy, especially for urinary tract infections (UTIs)

Prophylactic antimicrobial therapy for UTIs should only be (re)considered when the resident has been diagnosed with confirmed recurrent UTIs based on consistent clinical and microbiological criteria, non-antimicrobial strategies (e.g., dehydration correction) have been trialled, the benefit of the therapy outweighs any potential adverse effects or harm (e.g., candidiasis), and advanced care plans have been checked to ensure therapy is consistent with the expressed goals of the resident.

Further research is required before methenamine hippurate can be recommended to prevent chronic or recurrent UTIs. It may reduce the incidence of symptomatic UTI in women without urinary tract abnormalities; it is, however, not effective for the prevention of UTI in residents with urinary tract abnormalities.<sup>2</sup>

Patient-initiated treatment (antimicrobials taken at onset of symptoms) instead of continuous prophylactic therapy may reduce overall use.<sup>2</sup>

### Incomplete documentation of indication and review and stop dates

Complete and accurate documentation ensures that all those involved in resident care have access to consistent and current information. When, for example, a resident is prescribed an antimicrobial, the indication, active ingredient, dose, frequency, route of administration, and intended duration or review plan should be documented in their healthcare record. Where electronic healthcare records are being used, flags and reminders in the record management system can be incorporated to support documentation in all relevant fields.3 Use of paper or electronic medication charts that are consistent with the ACSQHC's National Residential Medication Chart is recommended.<sup>18</sup>

The seriousness and consistency of the identified issues reinforce the need for aged care facilities to develop and implement effective IPC and AMS programs that will lead to improvement in resident safety. There are nationally accepted guidelines that facilities should reference and use, such as the Australian Guidelines for the Prevention and Control of Infection,<sup>1</sup> Therapeutic Guidelines: Antibiotic<sup>2</sup> and AMS Clinical Care Standard.3 The ACSQHC has published strategies that specifically support IPC and AMS in general practice and in community and residential aged care. 19 As of early 2021, all facilities must have employed one or more trained IPC Leads;<sup>20</sup> it is expected these IPC Leads will play a pivotal role in supporting their facility's IPC and AMS programs. Alongside on-site and visiting staff, residents and their carers should be actively engaged too.

### **Appendix 1: Facility form**

(Previously 'Aged care home form')

Survey date	/ /	
ed care provider group name RAC number		
I. Facility Data		
Infection Prevention and Control (IPC)		
A multidisciplinary team or committee is established that oversees an IPC program.	□ yes	□ no
The aged care home has IPC policies and procedures that detail requirements for standard and transmission based precautions.	□ yes	□ no
Antimicrobial stewardship (AMS)		
The aged care home has IPC policies and procedures that promote appropriate antimicrobial use.	□ yes	□ no
The aged care home have a formal system in place to ensure all microbiological specimens are correctly:		
• Collected	□ yes	□ no
• Stored	□ yes	□ no
Transported to laboratory	□ yes	□ no
Followed up and reviewed	☐ yes	□ no
Documented clinical guidelines are available in the facility on:		
Respiratory tract infections?	□ yes	□ no
Skin and soft tissue infections?	☐ yes	□ no
Urinary tract infections?	□ yes	□ no
Staff that prescribe are easily able to access onsite the following national prescribing		
guidelines:  • Therapeutic Guidelines: Antibiotic	□ yes	□ no
Australian Medicines Handbook: Aged Care Companion	☐ yes	□ no
2. Demographic Data		
Enter the <u>total number</u> <b>on the survey day.</b>		
You may wish to use the Worksheet on the following page to help identify these residents.		
	Т	otal
No. of residents present (or onsite)		
No. of residents aged > 85 years		
No. of male residents		
No. of residents admitted to hospital in previous 7 days		
No. of residents with a urinary catheter present on the survey day		

### Worksheet (optional)

	Bed	Name or ID number	>85yrs	Male	Admitted to hospital in previous 7 days	Current urinary	Prescribed an antimicrobial	Signs and/or symptoms of infection
1.								
2.								
3.								
4.								
5.								
6.								
7.								
8.								
9.								
10.								
11.								
12.								
13.								
14.								
15.								
16.								
17.								
18.								
19.								
20.								
21.								
22.								
23.								
24.								
		Total						

AC NAPS Facility Form\_FINAL

# Appendix 2: Antimicrobial and infection form



## **Antimicrobial and Infection Form**



Admitted to hospital within the last 7 days

Admitted to the facility within the last 48 hours

Yes / No

M / F / O Gender

Identification number Date of birth or age

1. Demographics

Yes / No



□ yes; complete sections 1, 2, 3 & if the antimicrobial start date is known and <6 months section 4</p> Does the resident have signs or symptoms of infection on the survey day?  $\square$  yes; complete sections 1, 5a and 5b Does the resident have an antimicrobial prescription?

					94,	ays prior		Seb	nerueur
Antimicrobial	oia!	Dose	Route F	Freq	PRN MEPPN administrate on	If PRN, adminisfered on survey day or in the 6 da indication documented	Specify documented or presumed indication	r Pressure Was this for prophylax	Review/stop date docu
Adverse drug reactions to antimicrobials	Alle	Allergic reactions	Su	Side effects	-		4. Microbiology		Г
☐ not documented	Anaphylaxis / angioedema	Rash / urticaria	Other	(eg: nausea, vomiting diarrhea)		Unknown reaction	Complete for specimens collected on the antimicrobial start date, in the 6 days prior to, or 3 days after the antimicrobial start date	ected on the antimicrobia or to, or 3 days after the	
							☐ none collected	☐ sputum	
	0		0				☐ skin / wound swab	□ respiratory swab	
			0				☐ urine	☐ other	

Acute functional decline from baseline; (nours to a few days)

Bed mobility
Transfer
Cocomotion within facility
Dressing
Toilet use
Personal hygiene 5a. Constitutional criteria; completed for all residents with any signs and/or symptoms of a suspected or confirmed infection on the survey day or in the 2 days prior (confusion, forgetfulness, etc.)

Acute onset (hours to a few days)

Fluctuating course

Internation

Disorganised thinking or aftered level of consciousness Change in mental status <u>from baseline</u> Fever

☐ Single oral temperature >37.8°C

☐ Repeated oral temperature >37.2°C, or rectal temperature >37.5°C

☐ Single temperature > 1.1°C over baseline from any site

☐ Chills or rigors As according to full blood examination results 
☐ White blood cells elevated (WBC, leucocytes, etc.)
☐ Left shift documented ■ No constitutional criteria identified

1. Demographics Identi	ntification number	Date of birth or age	1 1
5b. System criteria; Complete for all res.	5b. System criteria; Complete for all residents with any signs and / or symptoms of a suspected or confirmed infection on the survey day or in the 2 days prior. Multiple system criteria are possible.	confirmed infection on the <b>survey day</b> or in the <b>2 day</b> .	s prior. Multiple system criteria are possible.
Urinary tract	Respiratory tract	Skin or soft tissue	Other infection(s) not listed
□ Acute pain on urination □ Acute pain, swelling or tendemess of the testes, epididymis or prostate □ Back pain or tendemess (new onset) □ Blood in urine □ Frequency (new or marked increase) □ Incontinence (new or marked increase) □ Infection (new onset) □ Urgency (new or marked increase) □ Urgency (new or marked increase) □ Urgency (new or marked increase) □ Urinary catheter □ other signs +/or symptoms not listed above Urine appartice □ intermittent (in and out) □ negative □ positive □ not recorded  Leucocyte esterase □ negative □ 1+ □ 2+ □ 3+ □ not recorded  Urine specimen in the 6 days prior to 3 days after the survey day	□ Chest wall pain □ Chest X-ray (recent, normal) □ Chest X-ray showing pneumonia or new infiltrate (recent) □ Cough (new or increased) □ Headache or eye pain (new) □ Loss of appetite □ Lung abnormalities (new or increased) □ Myalgia or muscle pain □ Swith nose □ Sheraths per minute □ Sore throat □ Sore throat □ Southum (new or increased) □ Sutify nose □ Swollen or tender neck glands □ Stuffy nose □ Swollen or tender neck glands □ Stuffy nose □ Swollen or tender neck glands □ Stuffy nose □ Sutify nose □ Collected □ collected: 43 prior to 3 4 days after the survey day □ not collected □ collected: 44 ft / / / / / / / / / / / / / / / / / /	<ul> <li>□ Heat site</li> <li>□ Pus present at wound, skin or soft tissue site</li> <li>□ Redness</li> <li>□ Serous discharge</li> <li>□ Serous discharge</li> <li>□ Serous discharge</li> <li>□ Swelling</li> <li>□ Tanderness or pain</li> <li>Rash</li> <li>□ rash or lesions characteristic of a fungal skin infection</li> <li>□ rash or lesions characteristic of a fungal skin infection</li> <li>□ westcular rash</li> <li>□ Doctor or laboratory confirmation for lungal skin infection</li> <li>□ herpes simplex or zoster</li> <li>□ Scabies</li> <li>□ Linkage to laboratory confirmed case of scabies</li> <li>□ Other signs +/or symptoms not listed above scabies</li> <li>□ Other signs +/or symptoms not listed above survey day</li> <li>□ not collected □ collected: date // // inal report attached</li> <li>□ final report attached</li> </ul>	Comments and clinical notes
☐ not collected ☐ collected: <i>date</i> / / ☐ final report attached	Oral	Еуе	
	☐ Doctor or dental provider confirmation☐ Presence of raised white patches or plaques in mouth		
	☐ Other signs +/or symptoms not listed above	☐ Other signs +/or symptoms not listed above	

### Appendix 3: Additional data on infections and antimicrobials

Table A1: Participating facilities by state/territory, remoteness area classification and provider type, Aged Care NAPS contributors, 2020

Category		Residents audited		ipating lities	Facilities in reporting group	Participating facilities in reporting group
		No.	No.	%	No.	%
	ACT	641	6	0.7	25	24.0
	NSW	9,194	171	20.8	946	18.1
	NT	129	1	0.1	13	7.7
State or territory	Qld	7,659	102	12.4	509	20.0
State or territory	SA	5,254	88	10.7	271	32.5
	Tas	2,130	29	3.5	75	38.7
	Vic	14,163	291	35.4	777	37.5
	WA	7,822	135	16.4	284	47.5
	Major cities	28,668	382	46.4	1,708	22.4
	Inner regional	12,104	225	27.3	676	33.3
Remoteness	Outer regional	5,553	170	20.7	402	42.3
	Remote	485	32	3.9	74	43.2
	Very remote	182	14	1.7	40	35.0
	Not for profit	29,308	411	49.9	1,556	26.4
Provider type	Private	10,407	130	15.8	933	13.9
	Government	7,277	282	34.3	411	68.6
Total		46,992	823	100	2,900	28.4

Sources: 1. Facility form and 2. Aged care service list: 30 June 2020 AIHW GEN Aged Care Data.

See Figures 1 and 2 for graphical presentation.

Transition care, innovative pool, national Aboriginal and Torres Strait Islander and short-term restorative care services excluded.

Table A2: Participating facilities by state/territory and provider type, Aged Care NAPS contributors, 2017-2019

		2017	7	2018	3	2019	Ð
Group		No. of participating facilities	% of eligible facilities	No. of participating facilities	% of eligible facilities	No. of participating facilities	% of eligible facilities
	ACT	0	0.0	4	15.4	6	24.0
	NSW	36	3.8	64	6.8	136	14.4
	NT	0	0.0	2	15.4	1	7.7
State or	Qld	19	4.0	49	10.0	84	16.7
territory	SA	8	2.9	38	14.0	66	24.0
	Tas	6	7.7	6	7.9	28	37.3
	Vic	187	24.4	203	26.3	228	29.3
	WA	21	7.7	36	12.9	90	31.8
Drovidor	Government	193	46.0	236	56.7	242	58.5
Provider	Not for profit	74	4.8	147	9.5	341	21.8
type	Private	10	1.1	19	2.1	56	6.1
Total		277	9.7	402	14.0	639	22.1

Sources: 1. Facility form and 2. Aged care service list: 30 June 2017, 2018, 2019 AIHW GEN Aged Care Data.

See Figures 1 and 2 for graphical presentation.

Eligible facilities does not include transition care, innovative pool, national Aboriginal and Torres Strait Islander and short-term restorative care services.

Table A3: Number and characteristics of all residents on the survey day, Aged Care NAPS contributors, 2018-2020

Measurement	20	18	20	19	20	20
	No.	%	No.	%	No.	%
Present on survey day	19,571	_	35,297	_	46,992	_
Aged >85 years	11,643	59.5	20,607	58.4	27,212	57.9
Male	6,404	32.7	11,381	32.2	15,215	32.4
Admitted to hospital in previous 7 days	1	0.0	3	0.0	728	1.5
Indwelling urinary catheter present	734	3.8	1,271	3.6	1,668	3.5

Source: Facility form.

Table A4: Prevalence of suspected infections and antimicrobial use, Aged Care NAPS contributors, 2017-2020

On august day	201	7	201	8	201	9	202	0
On survey day	No.	%	No.	%	No.	%	No.	%
Residents prescribed at least one antimicrobial	1,069	9.2	1,934	9.9	3,490	9.9	5,586	11.9
Residents prescribed at least one antimicrobial (excluding topical antimicrobials)	719	6.2	1,232	6.3	2,099	5.9	2,882	6.1
Residents prescribed at least one antimicrobial (excluding PRN orders not administered in the last 7 days)	1,069	9.2	1,610	8.2	2,850	8.1	3,996	8.5
Residents with signs and/or symptoms of at least one suspected infection	345	3.0	588	3.0	1,012	2.9	1,361	2.9
Number of residents present	11,662	_	19,571	_	35,297	_	46,992	_

Sources: 1. Facility form and 2. Antimicrobial and infection form.

See Figure 3 for graphical presentation.

Table A5: Prevalence of suspected infections and antimicrobial use for facilities that have participated annually\*, Aged Care NAPS contributors, 2018–2020

On accuracy days		201	8		2019	9		202	0
On survey day	No.	%	95% CI	No.	%	95% CI	No.	%	95% CI
Residents prescribed at least one antimicrobial	1,207	11.2	10.6 – 11.8	1,228	11.4	10.8 – 12.0	1,347	12.8	12.1 – 13.4
Residents with signs and/or symptoms of at least one suspected infection	356	3.3	3.0 – 3.7	306	2.8	2.5 – 3.2	288	2.7	2.4 – 3.1
Number of residents present	10,782	_	_	10,787	_	_	10,542	_	_

Sources: 1. Facility form and 2. Antimicrobial and infection form.

See Figure 4 for graphical presentation.

<sup>\* 253</sup> aged care facilities participated annually between 2018 and 2020.

Table A6: Most commonly prescribed antimicrobials, Aged Care NAPS contributors, 2017-2020

	20	)17	20	)18	20	19	20	20
Antimicrobial	(n=1	,510)	(n=2	2,503)	(n=4	,630)	(n=7	,581)
	No.	%	No.	%	No.	%	No.	%
Clotrimazole (T)	269	17.8	448	17.9	1,022	22.1	1,810	23.9
Cefalexin	314	20.8	547	21.9	1,007	21.7	1,546	20.4
Chloramphenicol (T)	89	5.9	169	6.8	249	5.4	481	6.3
Trimethoprim	101	6.7	141	5.6	271	5.9	463	6.1
Doxycycline	98	6.5	161	6.4	275	5.9	329	4.3
Amoxicillin-clavulanic acid	108	7.2	158	6.3	280	6.0	311	4.1
Kenacomb® (T)	32	2.1	60	2.4	77	1.7	289	3.8
Amoxicillin	103	6.8	145	5.8	229	4.9	268	3.5
Miconazole (T)	32	2.1	83	3.3	102	2.2	227	3.0
Mupirocin (T)	12	0.8	46	1.8	107	2.3	200	2.6
Nitrofurantoin	44	2.9	34	1.4	91	2.0	188	2.5
Flucloxacillin	33	2.2	65	2.6	76	1.6	148	2.0
Ciprofloxacin	33	2.2	52	2.1	85	1.8	140	1.8
Clindamycin	19	1.3	28	1.1	65	1.4	137	1.8
Trimethoprim-sulfamethoxazole	23	1.5	36	1.4	69	1.5	88	1.2
Nystatin (O or T)	16	1.1	23	0.9	71	1.5	87	1.1
Ketoconazole (T)	4	0.3	12	0.5	22	0.5	85	1.1
Metronidazole (O or T)	9	0.6	27	1.1	45	1.0	80	1.1
Oseltamivir	1	0.1	1	0.0	49	1.1	48	0.6
Terbinafine	4	0.3	11	0.4	22	0.5	41	0.5

Source: Antimicrobial and infection form Section, 2 Method 1 and 2 data. See Figure 5 for graphical presentation.

Only top 20 antimicrobials prescribed listed.

O = oral; T = topical.

Kenacomb® contains triamcinolone, neomycin, nystatin and gramicidin.

Table A7: Key quality indicators for all participating facilities, Aged Care NAPS contributors, 2017–2020

		•			)	`						
Indicator		2017			2018	8		2019			2020	
	ON	%	95% CI	No.	%	95% CI	No.	%	95% CI	No.	%	95% CI
Indication for prescribing an antimicrobial	crobial											
Documented	1,192	78.9	76.8 – 81.0	1,922	76.8	75.1 – 78.4	3,384	73.1	71.8 – 74.4	5,817	7.97	75.8 – 77.7
Not documented	318	21.1	19.0 – 23.2	581	23.2	21.6 – 24.9	1,246	26.9	25.6 – 28.2	1,764	23.3	22.3 – 24.2
Review or stop date												
Documented	791	52.4	49.8 – 54.9	1,179	47.1	45.1 – 49.1	2,521	54.4	53.0 - 55.9	3,499	46.2	45.0 – 47.3
Not documented	719	47.6	45.1 – 50.2	1,324	52.9	50.9 – 54.9	2,109	45.6	44.1 – 47.0	4,082	53.8	52.7 - 55.0
Total	1,510	ı	ı	2,503	ı	ı	4,630	ı	ı	7,581	ı	ı

See Figure 6 for graphical presentation.

Table A8: Key quality indicators for facilities that have participated annually, Aged Care NAPS contributors, 2018–2020

Indiantou		2018	3		2019	)		2020	)
Indicator	No.	%	95% CI	No.	%	95% CI	No.	%	95% CI
Indication for preso	ribing an	antimic	robial			'		•	
Documented	1,213	76.0	73.8 – 78.1	1,156	69.8	67.6 – 72.1	1,394	79.1	77.1 – 81.0
Not documented	383	24.0	21.9 – 26.2	499	30.2	27.9 – 32.4	368	20.9	19.0 – 22.9
Review or stop date	•								
Documented	750	47.0	44.5 – 49.5	796	48.1	45.7 – 50.5	723	41.0	38.7 – 43.4
Not documented	846	53.0	50.5 – 55.5	859	51.9	49.5 – 54.3	1,039	59.0	56.6 – 61.3
Total	1,596	-	-	1,655	-	_	1,762	-	-

See Figure 7 for graphical presentation.

Table A9: Most common indications for antimicrobial prescriptions, Aged Care NAPS contributors, 2017–2020

			Anti	microbial	prescrip	tions		
Indication	20	17	20	)18	20	19	20	20
Indication	(n=1,	446)	(n=2	,356)	(n=4	,370)	(n=7	,581)
	No.	%	No.	%	No.	%	No.	%
Other – skin, soft tissue or mucosal	209	14.5	440	18.7	731	16.7	1,648	21.7
Cystitis	258	17.8	352	14.9	746	17.1	1,334	17.6
Tinea	66	4.6	79	3.4	350	8.0	603	8.0
Wound infection: non-surgical	82	5.7	125	5.3	236	5.4	470	6.2
Cellulitis	70	4.8	137	5.8	176	4.0	410	5.4
Conjunctivitis	67	4.6	102	4.3	172	3.9	350	4.6
Pneumonia	214	14.8	282	12.0	468	10.7	264	3.5
Other – urinary tract	36	2.5	79	3.4	93	2.1	173	2.3
Genital candidiasis	19	1.3	27	1.1	90	2.1	171	2.3
Other – medical prophylaxis	17	1.2	29	1.2	38	0.9	123	1.6
Catheter associated UTI	29	2.0	53	2.2	63	1.4	117	1.5
Infective exacerbation of COPD	29	2.0	36	1.5	60	1.4	113	1.5
Other – eye	20	1.4	45	1.9	61	1.4	107	1.4
Asymptomatic bacteriuria	44	3.0	48	2.0	108	2.5	99	1.3
Pyelonephritis	11	0.8	23	1.0	43	1.0	96	1.3
Other – respiratory tract	19	1.3	63	2.7	108	2.5	93	1.2
Oral candidiasis	15	1.0	20	0.8	80	1.8	90	1.2
Paronychia	5	0.3	21	0.9	49	1.1	80	1.1
Wound infection: surgical	10	0.7	18	0.8	31	0.7	79	1.0
Ulcers	22	1.5	36	1.5	44	1.0	55	0.7

Source: Antimicrobial and infection form Section 2, Method 1 and 2 data.

See Figure 8 for graphical presentation.

Only top 20 indications for antimicrobial prescriptions listed.

Unknown indications for commencing an antimicrobial excluded.

UTI = urinary tract infection; COPD = chronic obstructive pulmonary disease.

Table A10: Most common prophylactic indications for antimicrobial prescriptions, Aged Care NAPS contributors, 2017–2020

Indication		)17 350)		)18 517)		)19 ,021)		20 ,821)
	No.	%	No.	%	No.	%	No.	%
Cystitis	86	24.6	139	26.9	295	28.9	474	26.0
Other – skin, soft tissue or mucosal	42	12.0	53	10.3	126	12.3	236	13.0
Other – urinary tract	23	6.6	54	10.4	64	6.3	114	6.3
Other – medical prophylaxis	16	4.6	28	5.4	38	3.7	112	6.2
Infective exacerbation of COPD	9	2.6	14	2.7	27	2.6	67	3.7
Asymptomatic bacteriuria	31	8.9	35	6.8	56	5.5	59	3.2
Wound infection: non-surgical	9	2.6	9	1.7	24	2.4	58	3.2
Prophylaxis of infection in immunocompromised residents	7	2.0	9	1.7	30	2.9	54	3.0
Tinea	8	2.3	2	0.4	34	3.3	40	2.2
Pneumonia	23	6.6	15	2.9	31	3.0	33	1.8

See Figure 9 for graphical presentation.

Only top 10 prophylactic indications for antimicrobial prescriptions listed.

Unknown indications for commencing an antimicrobial excluded.

COPD = chronic obstructive pulmonary disease.

Table A11: Comparison of therapeutic and prophylactic antimicrobial prescriptions for common indications, Aged Care NAPS contributors, 2020

	Thera	peutic	Proph	ylactic	
Indication	No.	%	No.	%	Total
Other – skin, soft tissue or mucosal	1,412	85.7	236	14.3	1,648
Cystitis	860	64.5	474	35.5	1,334
Tinea	563	93.4	40	6.6	603
Wound infection: non-surgical	412	87.7	58	12.3	470
Cellulitis	371	90.5	39	9.5	410
Conjunctivitis	313	89.4	37	10.6	350
Pneumonia	231	87.5	33	12.5	264
Other – urinary tract	59	34.1	114	65.9	173
Genital candidiasis	153	89.5	18	10.5	171
Catheter associated UTI	81	69.2	36	30.8	117

Source: Antimicrobial and infection form Section 2, Method 1 and 2 data.

See Figure 10 for graphical presentation.

Only top 10 indications for antimicrobial prescription listed.

Unknown and medical prophylaxis indications for commencing an antimicrobial excluded.

UTI = urinary tract infection.

### **Appendix 4: Abbreviations**

Abbreviation	Definition
ACSQHC	Australian Commission on Safety and Quality in Health Care
Aged Care NAPS	Aged Care National Antimicrobial Prescribing Survey
AMS	Antimicrobial Stewardship
AURA	Antimicrobial Use and Resistance in Australia
IPC	Infection Prevention and Control
NAPS	National Antimicrobial Prescribing Survey
NCAS	National Centre for Antimicrobial Stewardship
PRN	Pro Re Nata (as required)
VICNISS	Victorian Healthcare Associated Infection Surveillance System

### References

- 1. National Health and Medical Research Council. Australian Guidelines for the Prevention and Control of Infection in Healthcare. Canberra: NHMRC; 2019.
- 2. Antibiotic Expert Group. Therapeutic Guidelines: Antibiotic (version 16). Melbourne: Therapeutic Guidelines Limited; 2019.
- 3. Australian Commission on Safety and Quality in Health Care. Antimicrobial Stewardship Clinical Care Standard. Sydney: ACSQHC; 2020.
- 4. Australian Government Aged Care Quality and Safety Commission. Guidance and Resources for Providers to support the Aged Care Quality Standards. ACQSC; 2021.
- 5. Center for Disease Prevention and Control. The Core Elements of Antibiotic Stewardship for Nursing Homes, Atlanta; 2015.
- 6. National Centre for Antimicrobial Stewardship and Australian Commission on Safety and Quality in Health Care. Antimicrobial prescribing and infections in Australian residential aged care facilities: Results of the 2015 Aged Care National Antimicrobial Prescribing Survey pilot. Sydney: ACSQHC; 2016.
- 7. European Centre for Disease Prevention and Control. Healthcare-associated infections in long-term care facilities in Europe: The HALT project. Available from: <a href="https://www.ecdc.europa.eu/en/healthcare-associated-infections-long-term-care-facilities">https://www.ecdc.europa.eu/en/healthcare-associated-infections-long-term-care-facilities</a>. [Cited 2021 December].
- 8. National Centre for Antimicrobial Stewardship and Australian Commission on Safety and Quality in Health Care. Aged Care National Antimicrobial Prescribing Survey 2016. Sydney: ACSQHC; 2017
- 9. National Centre for Antimicrobial Stewardship and Australian Commission on Safety and Quality in Health Care. Antimicrobial prescribing and infections in Australian aged care homes: Results of the 2017 Aged Care National Antimicrobial Prescribing Survey. Sydney: ACSQHC; 2018.
- 10. National Centre for Antimicrobial Stewardship and Australian Commission on Safety and Quality in Health Care. Antimicrobial prescribing and infections in Australian residential aged care facilities: Results of the 2019 Aged Care National Antimicrobial Prescribing Survey Sydney ACSQHC; 2020.
- 11. National Centre for Antimicrobial Stewardship and Australian Commission on Safety and Quality in Health Care. Antimicrobial prescribing and infections in Australian aged care homes: Results of the 2018 Aged Care National Antimicrobial Prescribing Survey. Sydney: ACSQHC; 2019.
- 12. Commonwealth of Australia Department of Health. 2019–20 Report on the Operation of the Aged Care Act 1997. Canberra; 2020.
- 13. Australian Government Aged Care Quality and Safety Commission. Aged Care Quality Standards 2019. Available from: <a href="https://www.agedcarequality.gov.au/providers/standards">https://www.agedcarequality.gov.au/providers/standards</a>. [Cited 2020 April].
- 14. Lee BS, Bhuta T, Simpson JM, Craig JC. Methenamine hippurate for preventing urinary tract infections. *Cochrane Database Syst Rev.* 2012; 10.
- Stone ND, Ashraf MS, Calder J, Crnich CJ, Crossley K, Drinka PJ, Gould CV, Juthani-Mehta M, Lautenbach E, Loeb M, Maccannell T, Malani PN, Mody L, Mylotte JM, Nicolle LE, Roghmann MC, Schweon SJ, Simor AE, Smith PW, Stevenson KB, Bradley SF. Surveillance definitions of infections in long-term care facilities: Revisiting the McGeer criteria. *Infect Control Hosp Epidemiol*. 2012; 33(10): 965-77.
- 16. Juthani-Mehta M, Quagliarello VJ. Infectious diseases in the nursing home setting: challenges and opportunities for clinical investigation. *Clin Infect Dis.* 2010; 51(8): 931-6.
- 17. Australian Government Department Health and Department of Agriculture, Water and the Environment. Australia's National Antimicrobial Resistance Strategy: 2020 and Beyond. Canberra; 2020.

- 18. Australian Commission on Safety and Quality in Health Care. National Residential Medication Chart 2014. Available from: <a href="https://www.safetyandquality.gov.au/our-work/medication-safety/national-">https://www.safetyandquality.gov.au/our-work/medication-safety/national-</a> residential-medication-chart. [Cited 2018 February].
- 19. Australian Commission on Safety and Quality in Health Care. Antimicrobial stewardship in Australian health care. Sydney: ACSQHC; 2018.
- 20. Australian Government Department of Health. Infection prevention and control leads . Available from: https://www.health.gov.au/initiatives-and-programs/infection-prevention-and-controlleads#:~:text=Residential%20aged%20care%20facilities%20must,including%20COVID%2D19%20 and%20influenza. [Cited 2021 May].

